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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE sperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/547066-Conf. #4387 Filing Date **TRANSMITTAL** August 25, 2005 First Named Inventor **FORM** Marianne Bruggemann Art Unit N/A (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket Number M0106.70004US00 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer** Extension of Time Request Identify below): References Cited **Express Abandonment Request** Request for Refund UK Patent Office Search Report for Application No. GB 0304374.2 x Information Disclosure Statement CD, Number of CD(s) International Search Report for International Application No. PCT/GB2004/000786 Certified Copy of Priority Landscape Table on CD Return Receipt Postcard Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name WOLF GREENFIELD & SACKS P.C.

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Signature	John R. Van Chustine					
Printed name	John R. Van Amsterdam					
Date	July <u>19</u> , 2006		Reg. No.	40,212		
Certificate of Mailing Under 37 CFR 1.8(a) I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on						
the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
Dated: Tdy 19, 2006 Signature: Xwae Hosekol (Sylvana Householder)						
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				1054784.1		



DOCKET NO.: M0106.70004US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Marianne Bruggemann

Serial No.:

10/547066

Confirmation No.:

4387

Filed:

August 25, 2005

For:

GENETICALLY MODIFIED NON-HUMAN MAMMALS

AND CELLS

Examiner:

Not Yet Assigned

Art Unit:

Not Yet Assigned

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 19th day of July, 2006.

Sylvana Householder

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

1054735.1

Serial No.: 10/547066 -2 - Art Unit: Not Yet Assigned

Conf. No.: 4387

المراسة

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application.

UK Patent Office Search Report for Application No. GB 0304374.2. International Search Report for International Application No. PCT/GB2004/000786.

The following are remarks concerning the other information cited:

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

1054735.1

Serial No.: 10/547066 - 3 - Art Unit: Not Yet Assigned

Conf. No.: 4387

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

John R. Van Amsterdam, Ph.D.

Reg. No. 40,212

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: M0106.70004US00

Date: July 19, 2006

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	APPLICATION NO.:	10/547066	ATTY. DOCKET NO.: M0106.70004US00	
FORM PTO-1449/A and B Goodified PTO 8B/08) INFORMATION DISCLOSURE	FILING DATE:	FILING DATE: August 25, 2005 CONFIRMATION NO.: 4387		
STATEMENT BY APPLICANT	APPLICANT:	APPLICANT: Marianne Bruggemann		
	GROUP ART UNIT: 1	Not Yet Assigned	EXAMINER: Not Yet Assigned	
Sheet of	OKOOT THE OWNER			

U.S. PATENT DOCUMENTS

Examiner's Initials #	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or Issue	
		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
	A1	*2003/0013157	A1	Jakobovits et al.	01-16-2003	
	A2	*6,570,061	B1	Rajewsky et al.	05-27-2003	
	A3	*5,939,598	Α	Kucherlapati et al.	08-17-1999	
	A4	*5,625,126	A	Lonberg et al.	04-29-1997	

FOREIGN PATENT DOCUMENTS

Everniner's	Cite	Foreign Patent Document		ment	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
Examiner's Initials #	No.	Office/ Country	Number	Kind Code	Document Document	Cited Document MM-DD-YYYY	(Y/N)
	B5	wo	98/54348	A1	Babraham Institute	12-03-1998	
	В6	wo	90/04036	A1	Medical Research Council	04-19-1990	

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
	C7	JAKOBOVITS et al., "Analysis of homozygous mutant chimeric mice: Deletion of the immunoglobulin heavy-chain joining region blocks B-cell development and antibody production," <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 90, pgs. 2551-2555, March 1993.	
	C8	LANG et al., "Replacement-like recombination induced by an integration vector with a murine homology flank at the immunoglobulin heavy-chain locus in mouse and rat hybridoma cells," <i>Mol Gen Genet</i> (1994) 242:528-538.	
	C9	METZGER et al., "Conditional site-specific recombination in mammalian cells using a ligand-dependent chimeric Cre recombinase," <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 92, pgs. 6991-6995, July 1995.	
,	C10	NICHOLSON et al., "Antibody Repertoires of Four- and Five-Feature Translocus Mice Carrying Human Immunoglobulin Heavy Chain and κ and λ Light Chain Yeast Artificial Chromosomes," The Journal of Immunology, Vol. 163, pgs. 6898-6906 (1999).	
	C11	PLUSCHKE et al., "Generation of chimeric monoclonal antibodies from mice that carry human immunoglobulin Cγ1 heavy or Cκ light chain gene segments," Journal of Immunological Methods 215 (1998) 27-37.	
	C12	RONAI et al., "Use of a simple, general targeting vector for replacing the DNA of the heavy chain constant region in mouse hybridoma cells," Journal of Immunological Methods 275 (2003) 191-202.	
	C13	ZOU et al., "Cre-loxP-mediated gene replacement: a mouse strain producing humanized antibodies," Current Biology 1994, Vol. 4, No. 12, pgs. 1099-1103.	

EXAMINER:	DATE CONSIDERED:
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*EXAMINER: Initial if reference considered, whether or notictation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered Include copy of this form with next communication to Applicant.

^{*[}NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system are included. See 37 CFR §1.98 and 12870G163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]